

Assessing the conservation status of a species

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Colobus velle usus (Geoffroy Saint-Hilaire, 1834) observed in Ghana by Guenther Eichhorn (licensed under http://creativecommons.org/licenses/by-nc/4.0/)

Mentors



IUCN Red List Assessment: an estimate of extinction risk

What is the likelihood of a species becoming extinct in the near future, given current knowledge about **population trends**, **range**, and recent, current or projected **threats**?

It is not a final list of species that are priorities for conservation action





Criterion B

What can be assessed?

- All described taxa:
 - Species
 - Subspecies
 - Varieties (plants)
 - Subpopulations
 - Microorganisms..no....
- Undescribed taxa, only if:
 - Clearly distinct species
 - Voucher references provided
 - Distribution information available
 - Conservation benefit to the assessment



Tiger (Panthera tigris)



Sumatran Tiger (*P. t.* sumatrae)



Malayan Tiger

(P. t. jacksoni)



Amur Tiger (*P. t.* altaica)

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Maui Chaff Flower (Achyranthes splendens var. splendens)



Criterion B

What can be assessed? IUCN Red List Categories and Criteria apply to:

- Global level assessments
- Regional and national level only with the Guidelines for Application of IUCN Red List Criteria at Regional Levels
- Wild populations inside their natural range, and populations resulting from conservation introductions (also called "benign introductions")









Nature of the Criteria



Why use multiple criteria?

Not all of the criteria will be suitable for all taxa.

- All taxa being assessed must be evaluated against all five criteria.
- Meeting any one of the criteria qualifies a taxon for listing at that level of threat
- All criteria met at the highest level of threat should be listed.



SUMMARY OF THE FIVE CRITERIA (A-E) USED TO EVALUATE IF A TAXON BELONGS IN AN IUCN RED LIST THREATENED CATEGORY (CRITICALLY ENDANGERED, ENDANGERED OR VULNERABLE).¹

	Critically Endangered	Endangered	Vulnorable
		choangered	vuinerable
	≥ 90%	2 /0%	2 50%
A2, A3 & A4	≥ 80%	≥ 50%	≥ 30%
A1 Population reduction observed, estimated, inferred, or the past where the causes of the reduction are clearly understood AND have ceased.	r suspected in reversible AND	(a) direct o (b) an in appropi	bservation <i>[except A3]</i> dex of abundance riate to the taxon
A2 Population reduction observed, estimated, inferred, or su past where the causes of reduction may not have ceased understood OR may not be reversible.	ispected in the OR may not be	(c) a declin (AOO), (EOO) a	e in area of occupancy extent of occurrence nd/or habitat quality
A3 Population reduction projected, inferred or suspected to future (up to a maximum of 100 years) [(a) cannot be used for	be met in the or A3].	following: (d) actual exploita	or potential levels o ation
A4 An observed, estimated, inferred, projected or suspect reduction where the time period must include both the pas (up to a max. of 100 years in future), and where the causes of not have ceased OR may not be understood OR may not be	ted population t and the future f reduction may e reversible.	(e) effects hybridiz pollutar parasite	of introduced taxa tation, pathogens nts, competitors or is.
B. Geographic range in the form of either B1 (extent of occur	rrence) AND/OR B2 (are	a of occupancy)	
	Critically Endangered	Endangered	Vulnerable
B1. Extent of occurrence (EOO)	< 100 km ²	< 5,000 km ²	< 20,000 km ²
B2. Area of occupancy (AOO)	< 10 km ²	< 500 km ²	< 2.000 km ²
AND at least 2 of the following 3 conditions:			
(a) Severely fragmented OR Number of locations	=1	≤5	≤ 10
(b) Continuing decline observed, estimated, inferred or proje	ected in any of: (i) exten	t of occurrence; (ii) area	of occupancy: (iii) area
extent and/or quality of habitat: (iv) number of locations of	or subpopulations: (v) nu	mber of mature individual	s
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Rabb's Fringe-limbed Treefrog *Ecnomiohyla rabborum*

Category: Critically Endangered CR A2ace;B1ab(iii) Criteria & subcriteria



Dealing with a lack of high quality data

- The threatened categories use quantitative thresholds
- BUT a lack of high quality data should not deter assessors from applying the IUCN Criteria
- Assessments can be based on observations, estimations, projections, inferences and suppositions
- GBIF-mediated data provides valuable information for the application of Criterion B and for producing species distribution maps





Essential tools for Red List assessments

www.iucnredlist.org



Online Training

IUCN Red List Assessor Training

https://www.conservationtraining.org/course/ index.php?categoryid=40





Criterion B

Restricted geographic range + fragmentation/ few locations, continuing decline or extreme fluctuations



GeoCAT <u>http://geocat.kew.org/</u>

Geospatial Conservation Assessment Tool



<u>R Packages</u> - packages for R, e.g. rCAT, red, redlistr.



SUMMARY OF THE FIVE CRITERIA (A-E) USED TO EVALUATE IF A TAXON BELONGS IN AN IUCN RED LIST THREATENED CATEGORY (CRITICALLY ENDANGERED, ENDANGERED OR VULNERABLE).¹

A. Population size reduction. Population reduction (measured	l over the longer of 10 ye	ars or 3 generations) base	d on any of A1 to A4
	Critically Endangered	Endangered	Vulnerable
A1	≥ 90%	≥ 70%	≥ 50%
A2, A3 & A4	≥ 80%	≥ 50%	≥ 30%
 A1 Population reduction observed, estimated, inferred, or the past where the causes of the reduction are clearly understood AND have ceased. A2 Population reduction observed, estimated, inferred, or supast where the causes of reduction may not have ceased understood OR may not be reversible. A3 Population reduction projected, inferred or suspected to future (up to a maximum of 100 years) [(a) cannot be used field. A4 An observed, estimated, inferred, projected or suspected to reduction where the time period must include both the past (up to a max. of 100 years in future), and where the causes on not have ceased OR may not be understood OR may not be 	r suspected in reversible AND uspected in the OR may not be be met in the or A3]. ted population it and the future freduction may e reversible.	(a) direct of (b) an inn appropri (c) a declin (AOO), any of the following: (d) actual (e) effects hybridiz pollutar parsite	bservation [except A3] dex of abundance riate to the taxon e in area of occupancy extent of occurrence nd/or habitat quality or potential levels of tion of introduced taxa, ration, pathogens, ts, competitors or s.
B. Geographic range in the form of either B1 (extent of occu	rrence) AND/OR B2 (are	a of occupancy)	
	Critically Endangered	Endangered	Vulnerable
B1. Extent of occurrence (EOO)	< 100 km ²	< 5,000 km ²	< 20,000 km ²
B2. Area of occupancy (AOO)	< 10 km ²	< 500 km ²	< 2,000 km ²
AND at least 2 of the following 3 conditions:			
(a) Severely fragmented OR Number of locations	=1	≤5	≤ 10
(b) Continuing decline observed, estimated, inferred or proj extent and/or quality of babitat: (iv) number of locations of extent and/or quality of babitat: (iv) number of locations of extent and/or quality of babitat: (iv) number of locations of extent and/or quality of babitat: (iv) number of locations of extent and/or quality of babitat: (iv) number of locations of extent and/or quality of babitat: (iv) number of locations of extent and/or quality of babitat: (iv) number of locations of extent and/or quality of babitat: (iv) number of locations of extent and/or quality of babitat: (iv) number of locations of extent and/or quality of babitat: (iv) number of locations of extent and/or quality of babitat: (iv) number of locations of extent and/or quality of babitat: (iv) number of locations of extent and/or quality of babitat: (iv) number of locations of extent and/or quality of babitat: (iv) number of locations of extent and (iv) number of locations	ected in any of: (i) exten	t of occurrence; (ii) area (of occupancy; (iii) area,
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(c) Extreme fluctuations in any of: (i) extent of occurrence; (ii) of mature individuals	area of occupancy; (iii) nu	imper of locations of supp	opulations; (iv) number
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B1: Estimated extent of occurence
AND / OR
B2: Estimated area of occupancy



- a. Severely fragmented, or few locations
- **b.** Continuing decline
- c. Extreme fluctuations



Extent of Occurrence

Area of Occupancy

Extent of Occurrence: area within the shortest continuous imaginary boundary drawn around all known, inferred, or projected sites presently occupied by the taxon.

...EOO ≠ the species' range.



Area of Occupancy: area within the extent of occurrence which is actually occupied by the taxon (measured by overlaying a 2x2 km grid and counting number of occupied cells).



Distribution map

- Data points (red dots)
- Limits to distribution (blue polygons)

Extent of occurrence (EOO)

Entire area within the minimum convex polygon (e.g., 121,536 km²)

Area of occupancy (AOO)

 Total occupied 2x2 km grid cells (e.g., 40 km²)



Presence/origin codes and EOO



Criterion B

B. Geographic range in the form of either B1 (extent of occurrence) AND/OR B2 (area of occupancy)

	Critically Endangered	Endangered	Vulnerable		
B1. Extent of occurrence (EOO)	< 100 km ²	< 5,000 km ²	< 20,000 km ²		
B2. Area of occupancy (AOO)	< 10 km ²	< 500 km²	< 2,000 km ²		
AND at least 2 of the following 3 conditions:					
(a) Severely fragmented OR Number of locations	= 1	≤ 5	≤ 10		
(b) Continuing decline observed, estimated, inferred or projected in any of: (i) extent of occurrence; (ii) area of occupancy; (iii) area, extent and/or quality of habitat; (iv) number of locations or subpopulations; (v) number of mature individuals.					
(c) Extreme fluctuations in any of: (i) extent of occurrence; (ii) area of occupancy; (iii) number of locations or subpopulations; (iv) number of mature individuals.					



Severely Fragmented

Most individuals (>50%) found in small, isolated subpopulations between which there is very little dispersal. These subpopulations may be too small to be viable.

Taxa with highly mobile adult stages or producing large numbers of small, mobile diaspores can disperse more easily and are not so vulnerable to isolation through fragmented habitats.

Taxa producing small numbers of diaspores (or none at all), or only large ones are less able to disperse over wide areas and are more easily isolated.



Location is a geographically or ecologically distinct area in which a single threatening event can rapidly affect all individuals of the taxon.





2 locations





4 locations





If most serious threat does not affect entire distribution: can use other threats to count locations in areas not affected by most serious threat.



GRIF

If there are no plausible threats, do not consider locations at all.

Extreme Fluctuations

Wide, rapid and frequent variation in population size, or subpopulations, or locations, or distribution (typically tenfold increase or decrease)



Continuing Decline

Continuing Decline is a recent, current or projected future decline which is liable to continue unless remedial measures are taken. Can be observed, estimated, inferred or projected.



Example: a cone snail

- Known from only 6 sites along a 25 km stretch of coastline with a city occupying part of the area.
 - EOO = 150 km²
 - AOO = 28 km²
- Dispersal abilities between areas is not well known; there may be movement between groups. So severe fragmentation unknown.

Main threats recorded are:

OCollection for shell trade.

OHabitat loss and decline from pollution and urban expansion.

• Locations = 5

- Continuing decline in habitat quality & population size
- No known extreme fluctuations





Criterion B

Example: a cone snail

- Known from only 6 sites along a 25 km stretch of coastline with a city occupying part of the area.
- EOO = 150 km² EN B1
- AOO = 28 km² EN B2
- Dispersal abilities between areas is not well known; there may be movement between groups. So severe fragmentation unknown.
- Main threats recorded are:
 - Collection for shell trade.
 - Habitat loss and decline from pollution and urban expansion.
- Locations = 5 EN B1a+2a
- Continuing decline in habitat quality & population size
- No known extreme fluctuations



EN B1ab(iii,iv)+2ab(iii,iv)



Criterion B

Exercise : Applying Criterion B



You will use <u>www.geocat.kew.org</u> to determine EOO and AOO measurements for the species *Brachypelma smithi* (F.O.Pickard-Cambridge, 1897) and *Aphonopelma anax* (Chamberlin, 1940) - two tarantula species –and apply criterion B of the IUCN Categories and Criteria in your group

Remember, in a real assessment you would apply all criteria

For the purposes of this exercise you will use the global IUCN Categories and Criteria. Ordinarily, you would use the Guidelines for Application of IUCN Red List Criteria at Regional and National Levels for national assessments

We will discuss your assessments in plenary



Brachypelma smithi (F.O.Pickard-Cambridge, 1897)

Extent of occurrence	11,848 km2 VU				
Area of Occupancy	64 km2 EN				
		Justification (please state whether this is observed, estimated, projected, inferred or suspected)			
Severe Fragmentation	Yes or No - (MAYBE)	Possibly, we know that it is restricted to clearings and this could prevent movement between populations, particularly if we also have evidence of habitat degradation			
Number of Locations	1	The illegal pet trade could occur across all of its distribution, not tied to specific locations			
Continuing Decline	Yes_or No	Suspected continuing decline of mature individuals due to pressure from illegal trade			
Extreme Fluctuations	Yes or <u>No</u>	NO evidence of extreme fluctuations			
Final Assessment	EN B2 ab(v)	·			



Aphonopelma anax (Chamberlin, 1940)

Extent of occurrence	124985 km2 LC		
Area of Occupancy	268 km2 EN		
		Justification (please state whether this is observed, estimated, projected, inferred or suspected)	
Severe Fragmentation	Yes or <u>No</u>	The species is common over its range, I am assuming that there is movement between population	
Number of Locations	Many	Threat is from urban and agricultural development	
Continuing Decline	Yes or No	Observed habitat degradation due to increasing urban and agricultural development	
Extreme Fluctuations	Yes or <u>No</u>	No evidence	
Final Assessment	LC (does not fulfil at least 2 of the conditions required to pasinto endangered)		



Mapping standards for IUCN Red List assessments







What are we mapping?





- Known or inferred limits of the species' distribution.
- Distribution depicted as points, polygons or a combination of points and polygons.
- Polygons:
 - The species probably only occurs within the polygons.
 - Does not mean species is distributed equally within the polygon or occurs everywhere in the polygon



Mapping Standards

The preferred approach for preparing the map depends on the taxonomic group and the system in which the species occurs.



Plants

Vertebrates

Invertebrates



Mapping Standards

Preferred approaches for preparing maps for depends on the taxonomic group and the system in which the species occurs.



Freshwater

Polygon maps

- 1. Plot observation and collection data points.
- Create a polygon around the data points using information on habitat preferences, elevation limits, dispersal patterns, bathymetry (for marine taxa), and so on.
- 3. Refine the polygon, removing likely unoccupied areas (e.g., heavily degraded habitats, inappropriate altitudes, climate or temperature restrictions, etc.)





Habitat preferences, elevation limits, etc.

Land Use

Polygon maps

species with fewer than 3 data points

• Use habitat and ecology information to create the polygon.

OR

 If no habitat or ecology data are available a 10 km radius circle can be drawn around data points.





For coastal terrestrial species, clip the final polygon to the coastline (to exclude marine habitats).

Polygon maps

Freshwater species

Freshwater species are mapped to catchments as these are considered to be the minimum management unit for freshwater conservation.



- Plot known observation and collection data points.
- Intersect points with catchments to identify areas where the species currently occurs.
- Use publications and expert knowledge to expand range to other potentially occupied catchments, if necessary.



Species with sensitive spatial data

- Some species should not have their exact locations published, but accurate spatial data must still be provided for analysis purposes. You can:
 - withhold the map from the published assessment; or
 - publish a generalised map that does not identify the exact location of the species.



Spatial Data Attributes

• Attributes = data attached to points and polygons.

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\mathbf{F}	0	Polygon	40	21783	Thomasomys monochromos	1	1	1	IUCN SSC Small Mammal Specialist Group	2019	IUCN SSC

• Attributes tell us:

- the name of the species;
- the exact location of the data point;
- the identity of the HydroBASIN;
- whether the species still exists in that area or if it is now extinct from there;
- who compiled the data and when;
- whether the species is data sensitive;
- etc...
- There are minimum attribute requirements for maps supporting a <u>Red List assessment</u>.



Required Data Attributes

Field	Darwin Core	Description	Polygons / Basins	Points
SCI_NAME	genericName & specificEpithet	Scientific name for species	~	*
HYBAS_ID		HydroBASIN ID (only if mapping HydroBASINs)	~	
PRESENCE	occurrenceStatus	Codes identifying whether the species is currently present in the area	~	*
ORIGIN	establishmentMeans	Codes identifying whether the species is native to the area	~	 Image: A manual state of the st
SEASONAL	Behavior?	Codes identifying which season(s) the species is present in the area	~	~



Codes for Presence, Origin and Seasonality

Cod e	Presence	Cod	Origin (establishmentMea	Cod e	Seasonality
1	Extant		ns)	1	Resident
2	Probably Extant	1	Native	2	Breeding Season
3	Possibly Extant	2	Reintroduced		Non-breeding
5		3	Introduced	3	Season
4	Possibly Extinct		Vagrant	4	Passage
5	Extinct (post	4	Vayiani		Casaanal
5	1500)	5	Origin Uncertain	5	Occurrence
6	Presence uncertain	6	Assisted Colonisation		Uncertain



Required Data Attributes

Field	Darwin Core	Description	Polygons / Basins	Points
COMPILER		Name of individual(s) or institution responsible for creating the map	*	*
YRCOMPILED	DateLastModified	Year in which the map was created or last modified	>	*
CITATION	InstitutionCode	Name of individual(s)/institution responsible for providing the data	>	*
DEC_LAT	DecimalLatitude	Geographical latitude, in decimal degrees (between -90 and 90)		~
DEC_LONG	DecimalLongitude	Geographical longitude, in decimal degrees (between -180 and 180)		*
SPATIALREF	GeodaticDatum	Ellipsoid, geodetic datum or spatial reference system upon which the geographic coordinates are based (WGS84 preferred)		~



Required Data Attributes

Field	DARWIN CORE	Description	Polygons / Basins	Points
SUBSPECIES	infraspecificEpithet	Subspecies name (only if a subspecies is being mapped)	~	~
SUBPOP	N/A	Subpopulation name (only if a subpopulation is being mapped)	~	*
DATA_SENS	informationWithheld, dataGeneralizations, any 'Remarks"' fields	Used to flag species with sensitive spatial data. Tells the Red List Unit to withhold the point or polygon from the web site.	~	*
SENS_COMM	informationWithheld dcterms:accessRights	Comments on why the data are considered sensitive (required if DATA_SENS = "Y")	~	~



Recommended Data Attributes

Field	Darwin Core	Definition	Polygon / Basin	Point
EVENT_YEAR	year	Year the observation was recorded or the specimen was collected.		~
SOURCE	associatedReferences	Primary source of the data	~	~
CATALOG_NO	catalogNumber	An identifier (preferably unique) for the record within a larger dataset or collection		~
DIST_COMM	locationRemarks / eventRemarks	Distribution comments, referring directly to the polygon or point	~	~
ISLAND	Island	Name of the island the point or polygon is on	~	~
TAX_COMM	taxonRemarks	Taxonomic comments, referring directly to the polygon or point	•	~
BasisOfRec	basisOfRecord	Specific nature of the record (restricted list of options)		~
				L GBIF

https://www.iucnredlist.org/resources/mappingstandards





Mapping tools and resources





GBIF Data Use Club

Aims to:

- 1. Showcase the science powered by GBIF
- 1. Provide GBIF community with tools for addressing data literacy skills gaps and support more complex data analysis and manipulation
- 1. Increase understanding of what GBIF-mediated data is, how it can be accessed, and what is use best practice





BUILDING DATA LITERACY

- DataCamp Partnership
 - 150 licences available for GBIF community
 - Courses cover R, Python, SQL, Spreadsheets
 - Trial one year programme
 - Prioritise funded programmes and node-lead training development that supplements current training
- Those receiving licences must attend tutorial series





Dealing with data uncertainty

Uncertainty in the data itself (different to the lack of data) should also be considered in a Red List assessment

For example: A species has a range of population size estimates from 3 separate studies.

Study A: Population size = 100-200 (Endangered)
Study B: Population size = 200-350 (Endangered or Vulnerable)
Study C: Population size = 280-410 (Vulnerable)



Dealing with data uncertainty

1. Record the range of possible values based on the available studies:

"Based on the studies A, B and C, the current population size is between 100 and 410"

State the range of potential Red List Categories that may be used based on the range of data:



 Select one of these categories using all available information (on population size, trends, habitat status, ongoing threats, etc.) to justify your decision:



Dealing with data uncertainty

 Species with VERY uncertain data (suggesting in a very wide range of potential categories) should be listed as Data Deficient.



